Integrating Pediatric Universal Behavioral Health Care at Federally Qualified Health Centers

R. Christopher Sheldrick, PhD,^a Megan H. Bair-Merritt, MD, MSCE,^{b,d} Michelle P. Durham, MD, MPH,^{c,e}
Jessica Rosenberg, MPH,^{b,d} Mahader Tamene, MS,^{b,d} Cathleen Bonacci, MD,^f Genevieve Daftary, MD, MPH,^g
Michael H. Tang, MD, MBA,^h Nandini Sengupta, MD,ⁱ Anita Morris, MSN, FNP-BC,^d and Emily Feinberg, ScD, CPNP^{b,d}

BACKGROUND: Research supports integrated pediatric behavioral health (BH), but evidence gaps remain in ensuring equitable care for children of all ages. In response, an interdisciplinary team codeveloped a stepped care model that expands BH services at 3 federally qualified health centers (FQHCs).

METHODS: FQHCs reported monthly electronic medical record data regarding detection of BH issues, receipt of services, and psychotropic medications. Study staff reviewed charts of children with attention-deficit/hyperactivity disorder (ADHD) before and after implementation.

RESULTS: Across 47 437 well-child visits, >80% included a complete BH screen, significantly higher than the state's long-term average (67.5%; P < .001). Primary care providers identified >30% of children as having BH issues. Of these, 11.2% of children <5 years, 53.8% of 5–12 years, and 74.6% >12 years were referred for care. Children seen by BH staff on the day of referral (ie, "warm hand-off") were more likely to complete an additional BH visit than children seen later (hazard ratio = 1.37; P < .0001). There was no change in the proportion of children prescribed psychotropic medications, but polypharmacy declined (from 9.5% to 5.7%; P < .001). After implementation, diagnostic rates for ADHD more than doubled compared with baseline, follow-up with a clinician within 30 days of diagnosis increased (62.9% before vs 78.3% after; P = .03) and prescriptions for psychotropic medication decreased (61.4% before vs 43.9% after; P = .03).

CONCLUSIONS: Adding to a growing literature, results demonstrate that integrated BH care can improve services for children of all ages in FQHCs that predominantly serve marginalized populations.

abstract



Full article can be found online at www.pediatrics.org/cgi/doi/10.1542/peds.2021-051822

^aBoston University School of Public Health, Boston, Massachusetts; ^bDepartments of Pediatrics and ^cPsychiatry, Boston University School of Medicine, Boston, Massachusetts; ^dDepartments of Pediatrics and ^ePsychiatry, Boston Medical Center, Boston, Massachusetts; ^fLowell Community Health Center, Lowell, Massachusetts; ^gCodman Square Health Center, Boston, Massachusetts; ^hCityblock Health, Brooklyn, New York; and ^fDimock Health Center, Boston, Massachusetts

Drs Sheldrick and Bair-Merritt conceptualized and designed the evaluation, drafted the initial manuscript, and reviewed and revised the manuscript; Dr Durham, Dr Feinberg, and Ms Morris drafted sections of the manuscript and provided extensive revisions; Ms Rosenberg conducted analyses for the evaluation and reviewed and revised the manuscript; Ms Tamene and Drs Bonacci, Daftary, Tang, and Sengupta critically reviewed the manuscript for important intellectual content; and all authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

DOI: https://doi.org/10.1542/peds.2021-051822

Accepted for publication Jan 20, 2022

what's known on this subject: Prior research supports the effectiveness of integrating behavioral health services into pediatric care, yet evidence gaps remain with respect to the spectrum of challenges faced by racially and ethnically diverse children who often experience inequities in access to quality healthcare.

WHAT THIS STUDY ADDS: Results extend a growing literature supporting the effectiveness of pediatric behavioral health integration to federally qualified health centers, which serve patient populations that are diverse with respect to race or ethnicity, culture, language and service needs.

To cite: Sheldrick R.C, Bair-Merritt MH, Durham MP, et al. Integrating Pediatric Universal Behavioral Health Care at Federally Qualified Health Centers. *Pediatrics*. 2022;149(4):e2021051822

Over 15% of children in the United States have a behavioral health (BH) condition, with impoverished children bearing disproportionate risk.^{1,2} Despite the availability of evidence-based treatments, significant barriers prevent timely recognition, accurate diagnosis, and effective treatment.3 The stigma of mental illness and lack of culturally responsive, language-concordant care present engagement obstacles. Shortages of mental health professionals make engagement difficult for those motivated to seek it⁴ and mental illness itself, which tends to run in families, reduces the self-efficacy, motivation, and organization required to navigate fragmented systems.5

Responding to these problems, recent research supports a range of integrated pediatric BH approaches.⁵⁻¹⁰ However, a 2020 review of available research noted several evidence gaps. 11 Many integrated care models focus on specific disorders and do not directly address the needs of children with developmental disabilities and/or trauma. 11 Most models focus on older children and adolescents¹¹; few have adopted an intergenerational approach that also addresses the needs of infants, preschool-age children, and their caregivers. Finally, most integrated care models have not been studied in low socioeconomic status communities or among racially and ethnically marginalized children, 11 who are among the most likely to experience structural racism and inequities in access to BH care. Moreover, none have been studied within federally qualified health centers (FQHCs), community-based primary care practices that provide care for 1 in 11 Americans, including more than 1 in 7 of Black race, 1 in 6 of Latinx ethnicity, and 1 in 2 living in poverty each year. 12 FQHCs are unique in their funding from the US

Health Resources and Services
Administration and focus on
addressing health-related social needs
alongside physical and BH concerns.
These evidence gaps raise questions
regarding the generalizability of
current BH integration models and
suggest a need to adapt existing
intervention approaches to diverse
settings and populations.

With philanthropic support, an interdisciplinary team of researchers and clinicians sought to address these evidence gaps by developing the Transforming and Expanding Access to Mental Health Care in Urban Pediatrics (TEAM UP) model. Prior research documents TEAM UP's impact on health service utilization, finding that implementation was associated with a relative increase in primary care visits driven by children with BH diagnoses but no significant change in cost or emergent health care utilization.¹³ Additional publications document that clinicians at participating sites believe that successful BH integration requires supportive clinical and operational infrastructure, and that TEAM UP supports team building and enhances professional fulfillment. 14,15 Here, we first provide a detailed description of the TEAM UP model and the care delivery metrics that were codeveloped with participating FQHCs to examine TEAM UP's reach and impact and to guide practice transformation. Codevelopment ensured that metrics addressed shared goals and were feasible to collect from different electronic medical record (EMR) systems, and that parties were committed to high-quality data collection, analysis, and meaningful use of resulting data. Specifically, we evaluated:

 detection of BH issues as a foundational element of stepped care that is necessary to ensure

- access to BH services. We hypothesized that TEAM UP sites would achieve higher screening rates than those published for other Massachusetts primary care practices;
- provision of BH care, because a primary goal of TEAM UP was to decrease time-to-service, especially through warm handoffs to integrated behavioral health clinicians (BHCs) and community health workers (CHWs) on the same day as wellchild visits. Consistent with prior literature that minimally defines warm handoffs as an introduction to a BH provider by a primary care provider (PCP),16 warm handoffs could include an initial contact to plan further visits, and/or triage, assessment, or direct intervention. We hypothesized that warm handoffs would result in greater access and more timely care compared with routine internal referrals (ie, BH visits with a BHC/CHW who practices within pediatric primary care on a day after the well-child visit);
- prescription of psychotropic medications, provided that they are often first-line treatments for BH problems and because of concerns regarding polypharmacy in the literature. We hypothesized that rates of polypharmacy would decrease;
- 4. care for children with attention-deficit/hyperactivity disorder (ADHD), in accordance with the ADHD toolkit, 17 emphasizing appropriate diagnosis given documented disparities and improving follow-up after diagnosis given integration of BHCs and CHWs into the care team. Improving ADHD care aligned with ongoing FQHC priorities and reporting requirements to the accountable care organization.

THE TEAM UP MODEL

TEAM UP was cocreated with 3 FQHCs. FQHCs share a common mission to provide comprehensive high-quality care to medically underserved areas or populations, but each has differing governance structures, resources and strategic priorities, and each works with unique patient populations with respect to race or ethnicity, culture, language, and service needs. Unlike traditional primary care, FQHCs must meet federal requirements that include providing care on a sliding fee scale, operating under a governing board of directors that includes patients, and complying with specific reporting requirements.¹⁸ A number of frameworks informed TEAM UP's development, most notably the National Academy of Medicine (NAM) Prevention Framework and principles of stepped care, 17 guidelines from the American Academy of Pediatrics and American Academy of Child and Adolescent Psychiatry, continuous quality improvement (CQI) models from the Institute for Healthcare Improvement, and concepts of teambased care from the Primary Care Behavioral Health (PCBH) model. 19 To integrate these best practices, we used the science of intervention adaptation, which recognizes the necessity of adapting frameworks and evidence-based interventions to match the capacity of local service systems and the needs of target populations.²⁰ TEAM UP includes 3 interrelated components (Fig 1):

1. TEAM UP Clinical Model

Consistent with the PCBH model, TEAM UP expands primary care teams to include BHCs, who are masters-prepared (MSW, LMHC, or LMFT) licensed mental health professionals who provide assessment and evidence-informed BH interventions within the medical home. Extending the PCBH model, TEAM UP also includes psychiatric consultations as needed, as well as CHWs, who are lay health workers with cultural and linguistic competence specific to FQHC populations who partner with families to address health-related social needs, provide navigation and parental peer support, serve as cultural brokers, and address the stigma of mental health concerns by providing culturally relevant health education. TEAM UP augmented existing CHW and BHC workforces to achieve a ratio of approximately 1 integrated CHW and 1 integrated BHC for every 2500 children on the patient panel.

Grounded in NAM's Prevention Framework,²¹ TEAM UP's stepped care approach aims to enhance the efficiency of BH care by matching the intensity of services to individuals' symptoms and needs. At all well-child visits, children are screened, and PCPs

discuss results with families. Based on family need, PCPs collaborate with: (1) integrated CHWs and BHCs to develop family-centered care plans that promote healthy development and address emerging behavioral issues, and/or (2) consulting psychiatrists who facilitate access to appropriate care. TEAM UP worked with CHCs to ensure multidisciplinary involvement and guidelineconsistent care. For example, changes to ADHD care stressed improving the initial diagnostic process by obtaining Vanderbilts from parents and teachers more consistently and facilitating access to nonpharmacological therapeutic care (eg, BH support). For further detail, we include the Template for Intervention Description and Replication (TIDiER)²² (Supplemental Table 3).

2. Data-Driven Implementation Model

Primary implementation activities include clinical training and practice transformation support. All pediatric staff, including nurses, medical assistants, and front desk staff, receive training in team-based care and participate in case-based discussions to support integration of the training curriculum into practice. Concurrently, training on therapeutic interventions is tailored to each clinical role. CHWs are trained in motivational interviewing,²³ family engagement, and problem-solving techniques 24-26 to support the engagement of culturally- and linguistically-diverse families in BH care, address healthrelated social needs, and improve linkages to existing community resources. BHCs are trained in motivational interviewing, problemsolving skills, and transdiagnostic approaches^{26–29} to intervention. PCPs received education on BH conditions and access to psychiatric consultation,³⁰ with an emphasis on guideline-concordant prescribing. Across all FQHCs, CHWs, BHCs, and PCPs participated in 40 hours of clinical training delivered over a 2year period; CHWs and BHCs received an additional 1 to 3 weeks of clinical supervision and training specific to their care team role.

Guided by the Institute for Healthcare Improvement's Model for Improvement³¹ and Quality Improvement Essentials Toolkit,³² practice transformation activities are tailored to support each FQHC individually and to ensure cohesion across sites. FQHCs received technical assistance to develop effective team roles and clinical workflows. This work used specific CQI tools (process mapping, failure modes, and effects analysis) to optimize 2 core clinical workflows that are foundational to the stepped

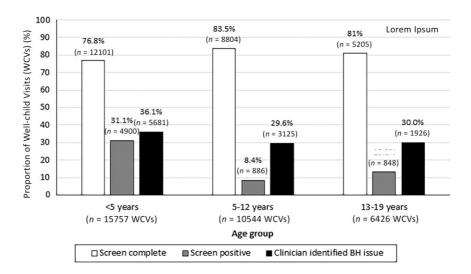


FIGURE 1
Screening and identification of behavioral health (BH) issues

care model: routine screening during well-child visits and warm hand-offs for BH care. 33,34
Standardized electronic medical record (EMR) metrics were codeveloped with FQHCs; results were reported monthly to support CQI efforts, including maximizing screening rates and improving access to on-site BH services. 55
Consistent with each site's data use agreement, outcomes based on these metrics are reported at the aggregate level.

For further detail on implementation strategies, we include the Expert Recommendation for Implementing Change (ERIC) checklist³⁶ (Supplemental Table 4).

3. Governance Model

TEAM UP developed a governance structure that emphasizes decision-making based on the best available evidence (both from the published literature and from the FQHCs themselves), expert judgement to apply that evidence (from clinicians, researchers, and practice leaders), and priorities of FQHC medical and BH staff.^{37,38} Specifically, a steering committee was formed to oversee the clinical and implementation models. The steering committee

included members of the academic medical center-based Implementation and Evaluation Teams, FQHC project managers, and designated clinical champions, for example, PCPs from each FQHC who often shared champion responsibilities with members of the BH team. The steering committee met monthly and invited other members of FQHC teams depending on the meeting agenda.

METHODS

Sample

Between June 2017 and November 2019, 3 FQHCs reported data for 47 437 unique well-child visits for children 30 days through 18.99 years of age. Sites varied in size, each contributing 16%, 32%, and 52% of total visits, respectively. FQHCs' submissions to the federal Uniform Data System³⁹ indicate that over 80% of patients identified as nonWhite or Hispanic and nearly half were living below the federal poverty level (Table 1). There were no exclusion criteria.

Data Sources and Measures

Data were derived from each FQHC's EMR (OCHIN EPIC [1 site] and eCW

[2 sites]). Before implementation, the evaluation team worked with FQHCs to standardize templates for submitted reports of aggregated data. Key measures included:

- Standardized screening questionnaires, including the Survey of Wellbeing of Young Children (SWYC) for younger children,^{40–42} the Pediatric Symptom Checklist (PSC)^{43,44} for children 5 to 12 years, and the Patient Health Ouestionnaire (PHO-9)45,46 for adolescents.47,48 On a monthly basis, FQHCs reported the following data stratified across 3 age groups (<5 years, 5-12 years, and >12 years): number of well-child visits, proportion of visits with a completed screening questionnaire, and proportion of screening results that were positive.
- Primary care provider (PCP) BH
 Plan. Recognizing the need for
 standardized, extractable information about PCPs' care plans
 for addressing identified BH
 issues, TEAM UP sites worked
 together to create an extractable
 EMR template reflecting the "PCP
 BH plan" (Table 2). At each visit,

30 SHELDRICK et al

TABLE 1 Population Characteristics at 3 Participating Community Health Centers (FQHCs)

	2017, n (%)	2018, n (%)	2019, n (%)
Pediatric patients	19 853	19 642	20 073
Uninsured	243 (3.2)	240 (2.9)	148 (2.4)
Total patients (all ages)	68 085	69 166	71 829
Race or ethnicity			
Non-Hispanic white	9593 (16.8)	9977 (17.5)	10 769 (18.6)
Hispanic	17 803 (27.1)	18 381 (28)	19 250 (28.2)
Asian	8018 (13.2)	8213 (13.6)	8226 (13.6)
Native Hawaiian orPacific Islander	973 (2.5)	980 (2.5)	990 (2.4)
Black orAfrican American	28 075 (53.7)	27 267 (51.1)	27 888 (50.4)
American Indian or Alaska Native	110 (0.2)	163 (0.3)	163 (0.3)
>1 Race	1268 (2.7)	1900 (3.6)	1968 (3.6)
Best served in language other than English	20 167 (29.6)	21 332 (30.8)	22 844 (31.8)
Medicaid or CHIP	12 209 (60.4)	11 596 (59.6)	107 01 (58.2)
<100% federalpoverty level	9363 (47.4)	9466 (47.4)	8364 (24)
<200% federalpoverty level	10 676 (56.4)	10 932 (56.7)	10 155 (34.6)

Data derive from the Uniform Data System (UDS), a system administered by the Health Resources and Services Administration to which federally qualified health centers (FQHC) submit annual reports. Note that pediatric-specific estimates are unavailable for race or ethnicity, language, Medicaid, and poverty status; these refer to the entire FQHC population. In addition, Medicaid and poverty status are estimated based on the 58.7% of families for whom data are available in UDS. CHIP, Children's Health Insurance Program.

providers specified whether a BH issue was identified (through screening, or parental or provider concern). They then selected whether a family declined care, was already in care, or whether new services were recommended (noting all applicable services). Three times per year, FQHCs submitted data on all children with an identified BH concern, including the number and timing of BH visits after identification. Counts of completed visits with integrated BHCs were used to assess BH care provision. All visits were in person (precoronavirus disease 2019).

Psychotropic medication prescriptions. FQHCs used EMR data to produce monthly reports detailing all psychotropic medications prescribed by primary care or specialty providers within the FQHC (medications prescribed outside the system, eg, through school-based or non-FQHC affiliated specialty clinics, were not available). Polypharmacy was defined as 3 or more medications. Whereas most prior studies of pediatric polypharmacy focus on 2 or more medications, 49 we adopted a more conservative threshold to account for the frequency of cooccurring health conditions.

In addition, manual chart review at baseline (January 2015–December 2016) and after implementation (January 2018–February 2019) assessed:

• Care for children with ADHD. At each timepoint, FQHCs generated lists of all 6 to 12 year-old children who were newly diagnosed with ADHD. The evaluation team then abstracted the following EMR data into REDCap: child age and sex, presence and scoring of parent and teacher Vanderbilt questionnaires, indication of ADHD follow-up in-person or by telephone, and psychotropic medication prescription.

TABLE 2 Plans of Primary Care Providers to Respond to Behavioral Health (BH) Needs Using an Extractable "BH Plan"

		Age group		
	<5 y, n (%)	5-12 y, n (%)	13–19 y, n (%)	
Well-child visits with BH plan complete	14219	9578	5869	
No BH issue identified	8117 (57.1)	6178 (64.5)	3564 (60.7)	
Parent declined services	421 (2.9)	275 (2.9)	379 (6.5)	
BH issue that requires services	5681 (40.0)	3125 (32.6)	1926 (32.8)	
Among visits with identified BH issues that require services (multiple options allowed)				
Already in care for BH issue	1553 (27.3)	1017 (32.5)	557 (28.9)	
PCP intends to manage BH issue	1888 (33.2)	614 (19.6)	339 (17.6)	
Warm handoff to CHW	645 (11.4)	231 (7.4)	95 (4.9)	
Warm handoff to BH clinician	381 (6.7)	723 (23.1)	634 (32.9)	
Routine internal referral to BH clinician	257 (4.5)	961 (30.7)	802 (41.7)	

BH plans were completed by PCPs subsequent to screening and reflect intentions regarding further need for care. Warm handoff refers to a behavioral health provider seeing a child the same day as the well-child visit, Routine internal refers to a behavioral health provider seeing the child in a follow up visit after the well-child visit. Primary care providers could select more than 1 action for management.

Statistical Analyses

For each outcome, we calculated descriptive statistics and conducted regression modeling. Linear models were used for continuous outcomes, logistic models for binary outcomes, and Cox models for time-to-event data. A covariate reflecting month of implementation was included to test for linear effects of time. Unless otherwise specified, dummy variables were included as covariates to test for differences in child age groups (<5 years, 5-12 years, and >12 years), which reflect eligibility for different screening questionnaires.

For detection of BH issues, we compared TEAM UP screening rates to published statewide estimates^{35,50–52} by selecting the highest documented estimate of screening completion (74%) and conducting a t test to determine whether average completion rates at TEAM UP FQHCs exceeded this proportion. Regression analyses examined differences by age group and linear changes over time for 3 outcomes: the proportion of wellchild visits that included screening, the proportion of screens that were positive, and the proportion of well-child visits that resulted in an identified BH concern.

For provision of BH care, descriptive analyses examined the results of primary care BH plans, including the proportion of BH issues managed by the PCP and/or resulting in a referral to a BHC or CHW (note that >1 option was possible). Regression modeling determined whether these variables differed by age group.

Descriptive statistics and conducted confirmatory survival analyses using Cox regressions compared time-tonext-BH appointment between children who received a warm handoff versus a routine internal referral. Interactions with time were tested to evaluate the proportional hazards assumption. By definition, warm handoffs include a visit at the time a BH issue is identified. Some children should be expected to have their issues addressed at that time and to not require further follow-up. Therefore, comparing time-to-next-BH visit offers a conservative assessment of the utility of warm handoffs.

Descriptive analyses examined the percentage of visits (well, sick, and follow-up) in which such a psychotropic medication was prescribed, as well as the proportion of prescribing events characterized by polypharmacy. Regression modeling determined whether the number of psychoactive medication prescriptions changed over the study period, and whether the proportion of those prescriptions involving polypharmacy declined.

We used logistic regression models to conduct confirmatory tests of whether ADHD reflected better quality care when assessed after implementation compared with baseline. Fisher's exact test was used in place of logistic regression if outcomes were reported for fewer than 5 children during either period, and Hosmer-Lemeshow tests were conducted to ensure goodness of fit. Specifically, we tested for improvements in completion of parent and teacher Vanderbilts during the diagnostic process and follow-up with a clinician within 30 days after a diagnosis of ADHD. We also tested for differences in prescriptions of stimulant medication within 30 days of ADHD diagnoses.

RESULTS

Detection of BH Issues

Screening was completed at 81% to 83.5% of well-child visits across age

groups, which is significantly greater than the highest reported estimate of the statewide average (74%; $P < .001)^{35}$ and the long-term average reported by the State's BH initiative (67.5%; $P < .001)^{.35}$ Differences between age groups were not significant, and no linear trend was noted.

Large differences were noted between the proportion of children under 5 years who screened positive on the SWYC Milestones (31.1%) compared with children ages 5 to 12 years who screened positive on the PSC (8.4%; P = .014) and children 12+ years who screened positive on the PHQ-9 (13.2%; P = .007). Modest differences were noted between the proportion of children under 5 years who were identified by primary care providers with developmental or BH issues (39.9%) compared with children aged 5 to 12 years (32.6%; P = .048), whereas the difference with children 12+ years was not statistically significant (32.8%; P = .07) (Fig 1). Linear trends were not significant over the study period for positive screens and BH plan concerns.

Provision of BH Care

The proportion of BH issues managed by the PCP was higher among children <5 years (33.2%) compared with children 12+ years (17.6%, P=.02), but differences with children 5 to 12 years (19.6%; P=.056) were not significant. In contrast, the proportion of BH issues resulting in a referral to a BHC among children <5 years (11.2%) was lower than among children 5 to 12 years (53.8%; P=.04), which was lower than children 12+ years (74.6%, P=.01) (Table 2).

To evaluate the degree to which warm handoffs resulted in successful referrals for subsequent BH care, days until next BH contact (beginning with the day a BH plan indicated a need for a new service)

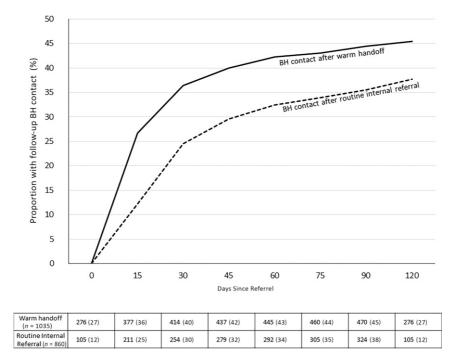


FIGURE 2
Completion of next behavioral health (BH) visit after warm handoff versus routine internal referral. BH, behavioral health; warm handoff, in-person BH visits that occur at the time of a well-child visit; routine internal referral, BH visits that occur at a time following a well-child visit.

were compared for children who did and did not have a warm handoff. Children who received warm handoffs were more likely to complete an additional BH visit during the study period as compared with routine internal referral (hazard ratio = 1.37; P < .0001; Fig 2). Because a warm handoff includes an immediate visit, this result indicates that, on average, children with warm handoffs receive two BH visits before children with routine internal referrals receive one.

Prescription of Psychotropic Medications.

Overall, 7.8% of pediatric visits involved prescription of at least one psychotropic medication, and 8.9% involved polypharmacy (ie, \geq 3 medications). No change over time was noted in the number of children prescribed psychotropic medications (P=.75), but polypharmacy declined significantly (P<.001) from 9.5% of those who received

prescriptions at the beginning of the project to 5.7% by the end.

Care for Children With ADHD

TEAM UP FOHC's diagnosed approximately 2.9 children with ADHD per month preimplementation and approximately 6.6 children per month after implementation. Compared with preimplementation, children who received new ADHD diagnoses after implementation were more likely to have a followup contact with a clinician within 30 days of diagnosis (62.9% vs 78.3%; P = .03). Moreover, children with a contact within 30 days were more likely to meet with a clinician faceto-face rather than by telephone (61.4% vs 97.2%; P < .001) (Fig 3).The rate at which children were diagnosed with ADHD and prescribed a psychotropic medication within 30 days rose from 1.8 children per month before implementation to 2.9 per children per month after implementation; however, the probability of a

prescription conditional on a new diagnosis declined from 61.4% to 43.9% (P=.03; Fig 3). No differences were noted in the proportion of children with complete parent or teacher Vanderbilt assessments at diagnosis. Fewer children scored positive on parent Vanderbilts after implementation (28 of 31 = 90.3% before implementation vs 29 of 42 = 69.1% after; P=.04); however, no differences were noted on teacher Vanderbilts.

DISCUSSION

Designed specifically for FQHCs, TEAM UP addresses several evidence gaps noted in a recent systematic review. TEAM UP delivers integrated BH care to low socioeconomic status, structurally marginalized communities that disproportionately include racial and ethnic minority children. Moreover, TEAM UP addresses the BH needs of entire patient panels, including young children and those

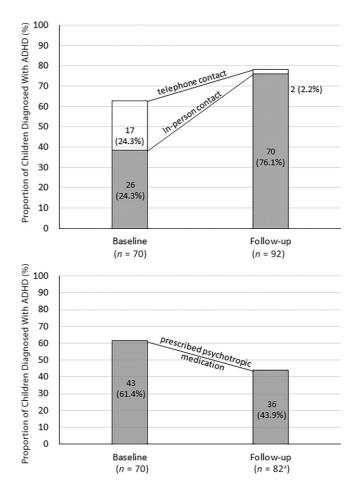


FIGURE 3 Follow-up within 30 days of ADHD diagnosis. ^a Ten children had prescriptions but time from diagnosis to prescription was unknown. Thus, n = 82.

with cooccurring disorders, developmental disabilities, and trauma. High rates of screening and identification, reduced wait for other BH services, reduced polypharmacy, and expansion of ADHD services offer preliminary evidence that TEAM UP, which integrated BHCs and CHWs into primary care teams, was successful in improving care.

We note several key findings worth consideration. First, BH screening at TEAM UP sites significantly exceeded state levels as documented in prior literature. ^{35,49–52} Massachusetts is an interesting location to examine screening because the ruling of a 2001 class action lawsuit (*Rosie D et al versus Jane Swift* et al; judgement implemented in 2007) requires

Massachusetts Medicaid providers to screen for behavioral concerns using state-approved measures at all well-child visits. Robust state-based data document a significant increase in screening (from 4% to 74%) before versus after the ruling. FQHCs involved in TEAM UP exceed the post-judgement Massachusetts screening rates for reasons that could include improved screening workflows, enhancements of EMR functionality, or regular use of data for CQI.

The prevalence of BH diagnoses in pediatric populations is approximately 15% to 20%. However, clinicians identified 33% to 40% of children with BH problems, of whom between 8% and 31% scored positive on age-based

screeners. Screening thresholds (ie, "cut scores") that differ by age, with SWYC prioritizing sensitivity and the PSC prioritizing specificity, are a likely factor. Uniformly high rates of identification of BH problems by clinicians may reflect higher than expected prevalence of BH problems and/or identification of subclinical problems that nevertheless cause impairment.

Warm handoffs were associated with improved timeliness of BH care. This finding is notable given somewhat mixed evidence. Several prior studies suggest that integration of BH staff in primary care can improve access^{53,54} and that warm handoffs can improve follow-up rates.^{55,56} However, one prior study among adults found no

association between warm handoffs and access to BH care, ⁵⁷ and a second study found similar results among Latinx adolescents. ⁵⁸ In contrast, warm handoffs in the TEAM UP project were associated with greater likelihood of attending BH appointments, and in less time. Future research is needed on the effectiveness of warm handoffs with careful attention to the activities that comprise the warm hand-off, ideally while controlling for differences in staff availability and patient need.

BH integration occurred without increasing the number of children receiving psychotropic medications, and polypharmacy declined. Prior literature documents secular trends toward increased rates of polypharmacy for children^{59,60} without clear evidence that benefits outweigh potential risks. While our findings suggest that BH integration may reduce polypharmacy, they require replication and additional investigation about possible mechanisms.

After TEAM UP implementation, more children were diagnosed with ADHD and a significantly higher percentage received in-person follow-up care within 30 days following diagnosis. Although the rate at which children were both diagnosed with ADHD and prescribed a psychotropic medication rose during implementation, the probability of a prescription conditional on a new diagnosis declined. These findings regarding medication could either be negative, representing under-treatment, 61,62 or positive, representing enhanced identification and care for ADHD and/ or co-occurring conditions (eg. trauma) that emphasizes nonpharmacological BH treatment.⁶³ Further research is needed, especially given strong evidence for persistent

and robust health disparities in ADHD diagnosis and treatment. 61,62,64,65

We note several limitations. Because data derive from EMRs, information on care from affiliated specialty clinics was unavailable. Data are observational. Whereas previous TEAM UP analyses used a quasiexperimental design, 13 no comparison group with similar metrics was available. Participating FQHCs varied preintervention and do not likely represent the FQHC population as a whole. While results are consistent with hypotheses, they do not offer proof of effectiveness. Furthermore, TEAM UP represents a complex, multicomponent intervention; further research is needed to ascertain which elements are most effective and why. Finally, analyses primarily focus on one aspect of the quadruple aim, that is improving care. While other manuscripts focus on cost13 and provider well-being, 14 the metrics do not explicitly assess whether improvements in care also led to improvements in health.

Because replication will likely require continued adaptation to ensure feasibility in new contexts, all TEAM UP materials are available in the public domain. After all, replication is difficult at best⁶⁶; even the early promise of surgical checklists⁶⁷ failed to replicate when implementation was mandated at new sites.⁶⁸ While results are specific to 3 FQHCs, TEAM UP's stepped care clinical model, datadriven implementation support, and shared governance structure demonstrate an evidence-informed process by which integrated BH care might be implemented at other sites. Indeed, TEAM UP's further research with a second cohort of 4 FQHCs includes important evolutions. Investigators now receive monthly

individual, item-level EMR data from every child, the provider BH plans have been further refined, and extractable templates for BHCs and CHWs are being developed. Events highlighting systemic racism caused us to reconsider processes for evaluating health inequities, and we will gather robust race and ethnicity data. In addition, TEAM UP continues revenue optimization with FQHCs and state-level advocacy to support BHCs and CHWs. We believe that these adaptations will increase TEAM UP's effectiveness while enhancing opportunities for further evaluation.

ACKNOWLEDGMENTS

All TEAM UP data were used with permission from the TEAM UP for Children Data Review Subcommittee and made possible through the contributions of Codman Square Health Center, The Dimock Center, Lowell Community Health Center, Boston Medical Center, and Boston University School of Medicine.

ABBREVIATIONS

ADHD: attention-deficit/ hyperactivity disorder BH: behavioral health

FQHC: Federally Qualified Community Health Center

CHWs: community health workers

EMR: electronic medical record

PHQ: Patient Health Questionnaire

PSC: Pediatric Symptom Checklist

PCP: primary care provider SWYC: Survey of Wellbeing of

Young Children

TEAM UP: Transforming and
Expanding Access to
Mental Health Care in
Urban Pediatrics

Address correspondence to R. Christopher Sheldrick, PhD, Boston University School of Public Health, 715 Albany St, Boston, MA 02118. E-mail: rshldrck@bu.edu PEDIATRICS (ISSN Numbers: Print, 0031-4005; Online, 1098-4275).

Copyright © 2022 by the American Academy of Pediatrics

FUNDING: The TEAM UP initiative was supported by a grant from the Richard and Susan Smith Family Foundation and The Klarman Family Foundation. Project officers from the Richard and Susan Smith Family Foundation and The Klarman Family Foundation reviewed the manuscript and provided editorial comments. However, the investigator team is scientifically independent from the funders and is not bound to accept their comments.

CONFLICT OF INTEREST DISCLOSURES: The authors have no financial conflicts of interest relevant to this article to disclose. Authors from Boston University and Boston Medical Center received funding to support this research. Outcomes reported include data from the Survey of Wellbeing of Young Children, which was codeveloped by R. Christopher Sheldrick and is available free of charge.

COMPANION PAPER: A companion to this article can be found online at www.pediatrics.org/cgi/doi/10.1542/peds.2021-051823

REFERENCES

- Whitney DG, Peterson MD. US national and state-level prevalence of mental health disorders and disparities of mental health care use in children. JAMA Pediatr. 2019;173(4):389–391
- Davis DW, Honaker SM, Jones VF, Williams PG, Stocker F, Martin E. Identification and management of behavioral/mental health problems in primary care pediatrics: perceived strengths, challenges, and new delivery models. Clin Pediatr (Phila). 2012;51(10):978–982
- American Academy of Child and Adolescent Psychiatry Committee on Health Care Access and Economics Task Force on Mental Health. Improving mental health services in primary care: reducing administrative and financial barriers to access and collaboration. Pediatrics. 2009;123(4):1248–1251
- Department of Health and Human Services, US Public Health Service. Mental health: a report of the Surgeon General. Available at: https://profiles.nlm.nih.gov/spotlight/nn/ catalog/nlm:nlmuid-101584932X120-doc. Accessed February 28, 2022
- Von Kort M, Katon W, Unützer J, Wells K, Wagner EH. Improving depression care. J Fam Pract. 2001;50(6):529–529
- Wolfe I, Satherley R-M, Scotney E, Newham J, Lingam R. Integrated care models and child health: a meta-analysis. *Pediatrics*. 2020;145(1):e20183747
- Kolko DJ, Perrin E. The integration of behavioral health interventions in children's health care: services, science, and suggestions. *J Clin Child Adolesc Psychol.* 2014;43(2):216–228

- Asarnow JR, Rozenman M, Wiblin J, Zeltzer L. Integrated medical-behavioral care compared with usual primary care for child and adolescent behavioral health: a meta-analysis. *JAMA Pediatr*. 2015;169(10):929–937
- Njoroge WF, Hostutler CA, Schwartz BS, Mautone JA. Integrated behavioral health in pediatric primary care. *Curr Psychiatry Rep.* 2016;18(12):106
- Walter HJ, Vernacchio L, Trudell EK, et al. Five-year outcomes of behavioral health integration in pediatric primary care. *Pediatrics*. 2019;144(1):e20183243
- Burkhart K, Asogwa K, Muzaffar N, Gabriel M. Pediatric integrated care models: a systematic review. *Clin Pediatr (Phila)*. 2020;59(2):148–153
- Nath JB, Costigan S, Lin F, Vittinghoff E, Hsia RY. Federally qualified health center access and emergency department use among children. *Pediatrics*. 2016;138(4):e20160479
- 13. Cole MB, Qin Q, Sheldrick RC, Morley DS, Bair-Merritt MH. The effects of integrating behavioral health into primary care for lowincome children. *Health Serv Res*. 2019;54(6):1203—1213
- 14. Brady KJS, Durham MP, Francoeur A, et al. Barriers and facilitators to integrating behavioral health services and pediatric primary care. Clin Pract Pediatr Psychol. 2020;9(4):359–371
- Fong HF, Tamene M, Morley DS, et al. Perceptions of the implementation of pediatric behavioral health integration in 3 community health centers. *Clin Pediatr (Phila)*. 2019;58(11-12): 1201–1211

- 16. Germán M, Hsu-Walklet T, Gurney BA, et al. "Nice to meet you": a quality improvement project to increase warm handoffs. Clin Pract Pediatr Psychol. 2020:8(3):247
- 17. American Academy of Pediatrics. Caring for Children With ADHD: A Resource For Clinicians, 2nd Ed. Available at: https:// services.aap.org/en/publications/ caring-for-children-with-adhd-2nd-ed/ adhd2/. Accessed September 18, 2020
- 18. Health Resources and Services Administration. Uniform Data System (UDS)
 Resources. Available at: https://bphc.
 hrsa.gov/datareporting/reporting/index.
 html. Accessed September 18, 2020
- 19. Reiter JT, Dobmeyer AC, Hunter CL. The primary care behavioral health (PCBH) model: an overview and operational definition. *J Clin Psychol Med Settings*. 2018;25(2):109–126
- Chambers DA, Norton WE. The adaptome: advancing the science of intervention adaptation. *Am J Prev Med.* 2016;51(4 Suppl 2):S124–S131
- 21. Institute of Medicine (US) Committee on Prevention of Mental Disorders. Reducing risks for mental disorders: frontiers for preventive intervention research. Washington, DC: The National Academies Press;1994
- 22. Hoffmann TC, Glasziou PP, Boutron I et al. Better reporting of interventions: template for intervention description and replication (TIDieR) checklist and guide. *BMJ.* 2014;348:g1687
- 23. Britt E, Hudson SM, Blampied NM. Motivational interviewing in health settings: a review. *Patient Educ Couns*. 2004;53(2):147–155

36 SHELDRICK et al

- Feinberg E, Stein R, Diaz-Linhart Y, et al. Adaptation of problem-solving treatment for prevention of depression among low-income, culturally diverse mothers. Fam Community Health. 2012;35(1):57–67
- 25. Feinberg E, Augustyn M, Fitzgerald E, et al. Improving maternal mental health after a child's diagnosis of autism spectrum disorder: results from a randomized clinical trial. *JAMA Pediatr*: 2014;168(1):40–46
- Silverstein M, Diaz-Linhart Y, Cabral H, et al. Efficacy of a maternal depression prevention strategy in Head Start: a randomized clinical trial. JAMA Psychiatry. 2017;74(8):781–789
- 27. Rohde P. Applying transdiagnostic approaches to treatments with children and adolescents: innovative models that are ready for more systematic evaluation. *Cognit Behav Pract*. 2012;19(1): 83–86
- 28. Weersing VR, Rozenman MS, Maher-Bridge M, Campo JV. Anxiety, depression, and somatic distress: developing a transdiagnostic internalizing toolbox for pediatric practice. Cognit Behav Pract. 2012;19(1):68–82
- Weisz J, Bearman SK, Santucci LC, Jensen-Doss A. Initial test of a principle-guided approach to transdiagnostic psychotherapy with children and adolescents. J Clin Child Adolesc Psychol. 2017;46(1):44–58
- Sarvet BD, Ravech M, Straus JH. Massachusetts child psychiatry access project 2.0: a case study in child psychiatry access program redesign. *Child Adolesc Psychiatr Clin N Am.* 2017;26(4):647–663
- Institute for Healthcare Improvement. How to improve. Available at: www.ihi. org/resources/Pages/HowtoImprove/ default.aspx. Accessed September 18, 2020
- 32. Institute for Healthcare Improvement. Quality Improvement Essentials Toolkit. Available at: www.ihi.org/resources/ Pages/Tools/Quality-Improvement-Essentials-Toolkit.aspx. Accessed September 18, 2020
- 33. Tamene M, Morris A, Feinberg E, Bair-Merritt MH. Using the quality improvement (QI) tool failure modes and effects analysis (FMEA) to examine implementation barriers to common

- workflows in integrated pediatric care. *Clin Pract Pediatr Psychol.* 2020;8(3): 257–267
- 34. Mackie TI, Ramella L, Schaefer AJ, et al. Multi-method process maps: an interdisciplinary approach to investigate ad hoc modifications in protocol-driven interventions. J Clin Transl Sci. 2020;4(3):260–269
- Savageau JA, Keller D, Willis G, et al. Behavioral health screening among Massachusetts children receiving Medicaid. J Pediatr. 2016;178:261–267
- Powell BJ, Waltz TJ, Chinman MJ, Damschroder DJ, Smith JL, Matthieu MM, Proctor EK, Kirchner JE, A refined compilation of implementation strategies: results from the Expert Recommendations for Implementing Change (ERIC) project. *Implement Sci.* 2015;10(1):21
- 37. Sheldrick C, Hyde J, Leslie LK, Mackie T. The debate over rational decision making in evidence-based medicine: implications for evidence-informed policy. *Evid Policy*. 2021;17(1):147
- Hawkins B, Parkhurst J. The 'good governance' of evidence in health policy.
 Evid Policy. 2016;12(4):575–592
- Health Center Program. Uniform Data System (UDS) resources. Available at: https://bphc.hrsa.gov/datareporting reporting/index.html. Accessed September 18, 2020
- Sheldrick RC, Perrin EC. Evidence-based milestones for surveillance of cognitive, language, and motor development. Acad Pediatr. 2013;13(6):577–586
- 41. Sheldrick RC, Henson BS, Neger EN, Merchant S, Murphy JM, Perrin EC. The baby pediatric symptom checklist: development and initial validation of a new social/emotional screening instrument for very young children. Acad Pediatr. 2013;13(1):72–80
- 42. Sheldrick RC, Henson BS, Merchant S, Neger EN, Murphy JM, Perrin EC. The Preschool Pediatric Symptom Checklist (PPSC): development and initial validation of a new social/emotional screening instrument. Acad Pediatr. 2012;12(5):456–467
- 43. Jellinek MS, Murphy JM, Little M, Pagano ME, Comer DM, Kelleher KJ. Use of the Pediatric Symptom Checklist to screen for psychosocial problems in pediatric primary care: a national

- feasibility study. *Arch Pediatr Adolesc Med.* 1999;153(3):254–260
- 44. Murphy JM, Bergmann P, Chiang C, et al. The PSC-17: subscale scores, reliability, and factor structure in a new national sample. *Pediatrics*. 2016;138(3):e20160038
- 45. Martin A, Rief W, Klaiberg A, Braehler E. Validity of the brief patient health questionnaire mood scale (PHQ-9) in the general population. *Gen Hosp Psychiatry*. 2006;28(1):71–77
- 46. Gilbody S, Richards D, Brealey S, Hewitt C. Screening for depression in medical settings with the patient health questionnaire (PHQ): a diagnostic meta-analysis. J Gen Intern Med. 2007;22(11):1596–1602
- 47. Johnson JG, Harris ES, Spitzer RL, Williams JB. The patient health questionnaire for adolescents: validation of an instrument for the assessment of mental disorders among adolescent primary care patients. *J Adolesc Health*. 2002;30(3):196–204
- Allgaier A-K, Pietsch K, Frühe B, Sigl-Glöckner J, Schulte-Körne G. Screening for depression in adolescents: validity of the patient health questionnaire in pediatric care. Depress Anxiety. 2012;29(10):906–913
- Bakaki PM, Horace A, Dawson N, et al. Defining pediatric polypharmacy: a scoping review. *PLoS One*. 2018; 13(11):e0208047
- Hacker KA, Penfold R, Arsenault L, Zhang F, Murphy M, Wissow L. Screening for behavioral health issues in children enrolled in Massachusetts Medicaid. *Pediatrics*. 2014;133(1):46–54
- 51. Kuhlthau K, Jellinek M, White G, Vancleave J, Simons J, Murphy M. Increases in behavioral health screening in pediatric care for Massachusetts Medicaid patients. Arch Pediatr Adolesc Med. 2011;165(7):660–664
- 52. CBHI Data Reports. Behavioral health (BH) screening cumulative quarterly report. Available at: https://www.mass.gov/info-details/cbhi-data-reports#behavioral-health-(bh)-screening-cumulative-quarterly-report-Accessed September 18, 2020
- 53. Guevara JP, Greenbaum PE, Shera D, Bauer L, Schwarz DF. Survey of mental health consultation and referral among

- primary care pediatricians. *Acad Pediatr.* 2009;9(2):123–127
- 54. Valleley RJ, Meadows TJ, Burt J, et al. Demonstrating the impact of colocated behavioral health in pediatric primary care. clinical practice in pediatric psychology. Clin Pract Pediatr Psychol. 2020;8(1):13
- Germán M, Hsu-Walklet T, Gurney BA, et al. "Nice to meet you": a quality improvement project to increase warm handoffs. Clin Pract Pediatr Psychol. 2020:8(3):247
- 56. Gurney BA, German M, Keller K, Hayes JA, Wheeler LA, Briggs RD. Increasing behavioral health appointment attendance using warm handoffs in an integrated primary care setting. Behav Therapist. 2020;43:14–19
- Pace CA, Gergen-Barnett K, Veidis A. Warm handoffs and attendance at initial integrated behavioral health appointments. *Ann Fam Med.* 2018;16(4):346–348
- 58. Horevitz E, Organista KC, Arean PA. Depression treatment uptake in

- integrated primary care: how a "warm handoff" and other factors affect decision making by Latinos. *Psychiatr Serv.* 2015;66(8):824–830
- 59. Soria Saucedo R, Liu X, Hincapie-Castillo JM, Zambrano D, Bussing R, Winterstein AG. Prevalence, time trends, and utilization patterns of psychotropic polypharmacy among pediatric medicaid beneficiaries, 1999–2010. Psychiatr Serv. 2018;69(8):919–926
- Comer JS, Olfson M, Mojtabai R. National trends in child and adolescent psychotropic polypharmacy in office-based practice, 1996-2007. J Am Acad Child Adolesc Psychiatry. 2010;49(10):1001–1010
- Zuvekas SH, Vitiello B. Stimulant medication use in children: a 12-year perspective. Am J Psychiatry. 2012;169(2):160–166
- 62. Fadus MC, Ginsburg KR, Sobowale K, et al. Unconscious bias and the diagnosis of disruptive behavior disorders and ADHD in African American and Hispanic youth. *Acad Psychiatry*. 2020;44(1):95–102

- 63. Guo C, Assumpcao L, Hu Z. Efficacy of non-pharmacological treatments on emotional symptoms of children and adults with attention-deficit/hyperactivity disorder: a meta-analysis. *J Atten Disord*. 2021;26(4):508–524
- 64. Morgan PL, Staff J, Hillemeier MM, Farkas G, Maczuga S. Racial and ethnic disparities in ADHD diagnosis from kindergarten to eighth grade. *Pediatrics*. 2013;132(1):85–93
- 65. Coker TR, Elliott MN, Toomey SL, et al. Racial and ethnic disparities in ADHD diagnosis and treatment. *Pediatrics*. 2016;138(3):e20160407
- 66. Shrout PE, Rodgers JL. Psychology, science, and knowledge construction: broadening perspectives from the replication crisis. *Annu Rev Psychol.* 2018;69:487–510
- 67. Guwande A. *The Checklist Manifesto*. New York: Picadur; 2010
- 68. Leape LL. The checklist conundrum. N Engl J Med. 2014;370(11): 1063-1064

38 SHELDRICK et al